

Start-up AwardsXXI

Terms and Conditions 2022

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1. Preamble

Young, innovative companies with ambition and potential for growth are key for the economic and social development of the territories. Their contribution is not limited solely to generating wealth and employment, but also to creating knowledge and developing technology and solutions for new societal challenges and needs.

These young, innovative companies face great challenges related to product development, negotiations, and market access in their first stages. To face these challenges, the companies need not only specialised financing, but also expert guidance that will help them to develop their potential and improve their capability to attract investors and clients.

To that end, through “CaixaBank, CaixaBank, S.A., and its subsidiary Caixa Emprendedor XXI S.A.U.,” we contribute to the development of young, innovative companies with high growth potential, providing them with financing and expert guidance in their first stages and in their later growth through DayOne, the CaixaBank service for tech companies and investors.

Furthermore, through the Start-up AwardsXXI, we identify, recognise, and provide guidance to recently created, innovative companies with high growth potential. The Start-up AwardsXXI aim to drive companies that have taken their first steps, allowing them to accelerate their growth process and global expansion.

This year we will be in our 16th edition of boosting entrepreneurship in Spain and Portugal. Since the awards were created in 2007, we have helped the 463 winning companies and we have given away more than 7,5 million euros in prizes. The Start-up AwardsXXI have also had the support of over 135 entities that have been joining the initiative as co-grantors, co-organisers, or collaborators, and more 300 businesspeople, investors, and representatives of companies linked to the enterprise that they participate annually in the 50 committees and juries that are celebrated across the Whole of Spain and in Portugal.

In this sixteenth edition, the **Start-up AwardsXXI Challenges** are seeking solutions to the main challenges posed to society in 2022 and the current economic climate.

2. Start-up AwardsXXI. 2022 Call

The CaixaBank group, through CaixaBank DayOne, has opened the call for applications for the fifteen edition of the Start-up AwardsXXI to identify, recognise, and provide guidance to recently created, innovative companies with high growth potential in Spain and Portugal.

The Start-up AwardsXXI are co-granted with the Ministry of Industry, Commerce, and Tourism through Empresa Nacional de Innovación S.M.E., S.A. (ENISA) in Spain and Banco BPI in Portugal and have the support of leading entities involved in the support of the development of innovative companies.

The Start-up AwardsXXI seek to identify young, innovative companies which, after having had some initial successful results, have an ambitious growth plan ahead of themselves and need resources to carry out their plan.

Participating companies may choose from among the following awards:

- **Regional Awards to companies with high impact in their territory.** All companies in a territory may opt for this award regardless of their sector. This award is co-granted in Spain with the Ministry of Industry, Commerce and Tourism and through ENISA in Spain and with Banco BPI in Portugal.
- **Start-up AwardsXXI Challenges to the most forward-thinking companies and with higher potential to solve the challenges proposed.** The participating companies shall present solutions to the main social and economic environment challenges in 2022.

International accompaniment

In addition to a financial prize, the winning companies of the territorial phase (19 companies) and the winner and finalist of the challenges (12 companies) will enjoy an international support program in one of the main global innovation ecosystems. Specifically, they will access the “Moonshot Thinking for entrepreneurs” program taught by Esade faculty and experts from Silicon Valley, visiting some of the world's main innovation hubs.

Innovation awards

The EmprendedorXXI Awards initiative has the support of different entities in order to award a second prize for innovation. Information about them will be communicated on the awards website (www.emprendedorxxi.es) and its social channels.

The awards are supported by the Israeli Embassy in Spain and the Adjoint Ministry of Economy and the Agência Nacional da Inovação de Portugal..

To award second prize for innovation, the value contribution and disruptive capacity of the proposed innovation will be assessed, understanding innovation in a broad sense (technology, business model, processes, sales channels, etc.).

Two special second prizes will also be awarded amounting to €10,000 each: the Social Impact award for the company with the greatest positive influence on society and the Deep Tech award for the most groundbreaking technological innovation.

Investors Day EmpreendeXXI

The territorial winners and the finalists and winners of the challenges will participate in the Investors Day Start-up AwardsXXI. A meeting whose goal is to connect the +30 startups winners of the Start-up AwardsXXI with main investors of the ecosystem and corporates with interest in innovation, that will take place in June-July 2023.

DayOne Open Innovation Program

The Start-up AwardsXXI, via CaixaBank DayOne, will launch in 2022 the third edition of the initiative “DayOne Open Innovation Program” in which will be able to participate the companies that they have presented its candidacy in this edition of the Start-up AwardsXXI.

The DayOne Open Innovation Program, is an initiative that expects to bring closer the entrepreneurial ecosystem to the different business areas of the company and jointly develop innovative projects. For this, the startups will be able to present its solutions to challenges in different fields, linked to the 6 challenges of the 16th edition of Start-up AwardsXXI.

The conditions specific to this initiative, as well as the bases of share, dates, challenges, etc will be communicated through publication in the service channels specific to Start-up AwardsXXI and CaixaBank dayOne.

The organisation reserves the right of expanding the resource in kind of the prizes, through agreements with collaborative third parties. In this case will learn more via the website and social networks of the Start-up AwardsXXI.

3. Participation requirements

In order to present an application for the Start-up AwardsXXI, candidate companies must meet the following requirements:

- Be companies **incorporated as a profit-seeking legal entity** (S.A., S.L., Employee-owned companies, Cooperatives, Business Partnerships) and have a tax residence in Spain or Portugal.
- Have **started their activity subsequent to 01 January 2019**. (High in model 036 of the Treasury).
- The founding members must lead the company, hold a majority shareholder percentage and work principally in the company, as well as have experience in the sector and the aim to create teams. *(The participation requirement of more than 50% does not apply to technology transfer initiatives derived from Universities and research centers, as long as the entrepreneurial team is made up of technical and business profiles)*
- The Regional Award is intended for **innovative technological micro-enterprises and SMEs** from all sectors, broken down by territory.
- Start-up AwardsXXI Challenges for companies with the greatest potential to solve the challenge is aimed exclusively at micro-enterprises and SMEs in the following sectors:

- **SEMILLAXXI**: We are looking for technological solutions related with agriculture and livestock production, technology and products for the agri-food industry, the transformation and creation of agricultural and food products to be able to establish more efficient, effective, sustainable and healthy production in the food and agricultural industry.

Potential sectors: agriculture, food, health, SaaS, machinery, biotech, etc.

- **SILVERXXI**: We want to guarantee active ageing and a long, healthy life through technology. Potential sectors: agetech, life sciences, e-health, reduced mobility, senior tourism, sports, medical devices, fitness, etc.

- **BANCAXXI**: The digital and technological transformation of the financial sector: digitalisation and product and service innovation are one of the main strategic challenges of the financial sector, as well as being the biggest specialist area in customer segments: the over 65s, millennials, tech companies, businesses. Within the scope of this challenge, we will reward innovative solutions that add value to the range of products and services in the financial sector (banking and insurance).

Potential sectors: Fintech, insurtech, legaltech, payment methods, regtech, cybersecurity, connectivity & data, blockchain, etc.

- **PLANETAXXI**: Sustainability as the lever of action against environmental issues and the challenge of slowing climate change. We want to find the best solutions that can help us to leave a better planet behind for the new generations, help our forests and oceans, and actively fight against plastic waste by finding alternative materials.

Innovative proposals that will help to find the best solution to the challenges posed in the search of a lifestyle that is less aggressive towards natural resources.

Potential sectors: cleantech, recycling, energy, sustainability, etc.

- CIUDADXXI: We are looking for value propositions that focus on how to make the towns and cities we live in more sustainable (climate change, green energy, smart mobility, healthy air), safer (cybersecurity, traffic free), more connected (data, mobility, IoT, smart buildings) and with adapted mobility.

Potential sectors: cleantech, energy, proptech, mobility, cybersecurity, connectivity and data, etc.

- VIVEXXI: One of the sectors that is suffering most from the Covid-19 crisis is the tourism and hospitality sector. In this category we are looking for solutions that help to reactivate the sector, new innovative and more sustainable business models, and solutions that contribute towards its digitalisation.

Potential sectors: tourism, hotels, catering, travel, retail technology, leisure, VR/AR, etc.

The call is intended for **technological and digital companies, with a high growth potential and scalable and innovative business models**, with innovation understood in a broad sense (product, processes, business model, etc).

The following are explicitly excluded:

- **self-employed individuals or freelancers**
- **companies considered “large companies” according to the European Commission**, i.e., companies that have more than 250 employees and have more than 50 million Euro in annual revenue or whose annual balance sheet exceeds 43 million Euro, or companies that have more than 25% of their capital held by one or more companies considered “large” according to the definition above.

Companies that have been awarded in previous editions may not compete for the same award, but they can apply for and compete in other categories and for the second prizes.

4. Candidate application

Candidates may only apply for the Awards exclusively online through the website of the Start-up AwardsXXI, which will have a link to access the candidate registration platform. The website for the Awards is: <http://www.emprededorxxi.es/en/>.

More specifically, candidates must:

- Fill in the application form, and
- Attach the documentation that accredits that the application meets the terms and conditions, the rest of the mandatory information, as well as the optional information that may be considered suitable.

The candidate application period begins on Thursday, **26 October 2022 at 11:00 a.m.** and ends on Monday, **5 December 2022 at 23:00 p.m. (all times are listed in GMT+1)**.

The organisation reserves the right to change the opening and closing dates of the candidate application period. If this occurs, it will be reported on the Start-up AwardsXXI website and its social networks.

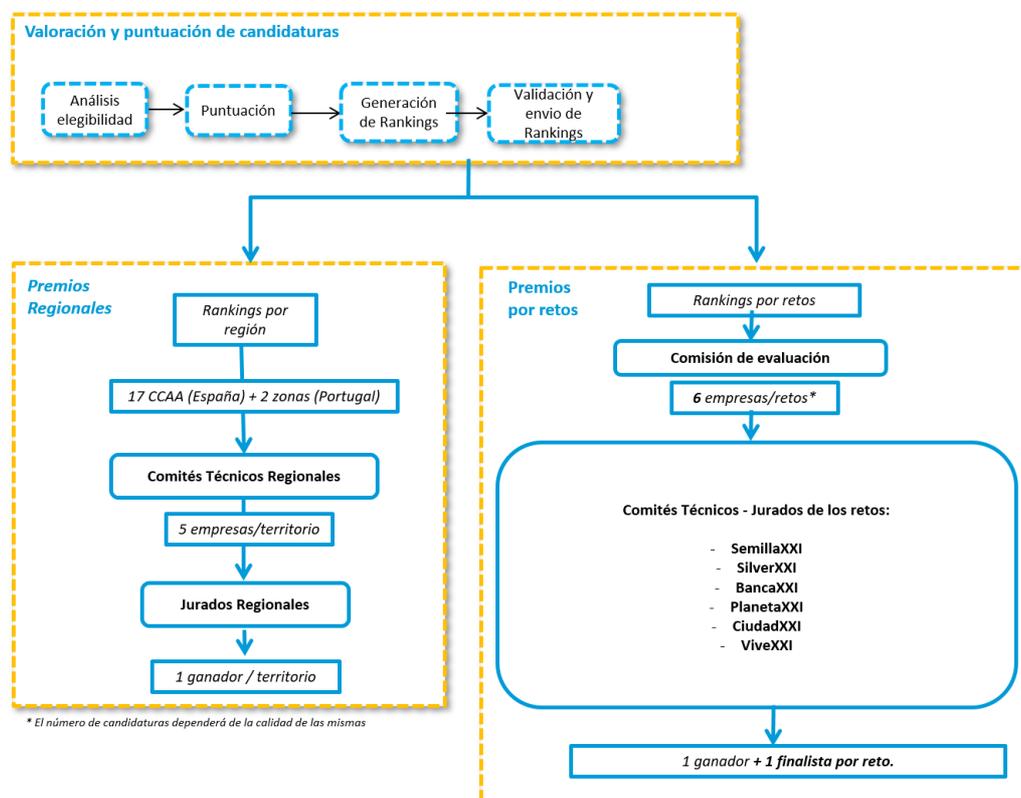
5. Evaluation criteria

The following criteria will be taken into account when evaluating candidates:

- **Team:** Commitment, dedication, and risk assumed by the entrepreneurial team; suitability of the team's experience/training to the needs of the business; composition of the team and capacity to attract talent; motivation; existence of Boards of Directors and the profile of the experts, the founding teams shareholder percentage in the company, etc.
- **Business model:** Value chain and monetisation of the business model; competition analysis; capacity to attract customers; commercial and marketing plan; potential and capacity for globalisation; capacity or probability of having the necessary resources to implement the business plan; internal and historical coherence of financial projections, etc.
- **Ambition:** Size and growth projection of the accessible market; scalability; existing and expected competition; concentration of customers; ambition and strength of sales projections; expected gross margin; job creation, etc.
- **Innovation:** Level of innovation of the product or service; suitable analysis of customer needs; competitive advantages and barriers to entry; positioning with respect to competition, suitability of its proposed value to the transformation and impact in its sector, etc.
- **Historic:** Revenue and/or financing achieved; results obtained with respect to the resources employed, etc.

For the Awards to the best company in its region, in addition to the criteria above, the company's link to and impact on the region will be evaluated. For the Start-up AwardsXXI Challenges, will be considered also the lace of the proposal with the challenge, the state of development of the project and the potential of impact in the challenge posed.

6. Selection process



Graphic. Start-up AwardsXXI selection process

Evaluating and scoring candidates

- 1. Eligibility analysis.** The candidates are reviewed once the application period closes. This review centres on confirming that the candidates match the profile of the target company for the Awards and that they meet the participation requirements detailed in these terms and conditions, especially with regards to its innovative component. Candidates that do not match the participation requirements are discarded from the process.
- 2. Scoring.** Applications are reviewed and scored based on the information provided in the application form and the attached documentation. The applications are evaluated remotely by independent evaluators who score them based on standardised evaluation tables that include more than 20 criteria.

- 3. Creating the rankings.** Once the initial evaluation process is finished, the candidates are sorted by score and different rankings are generated by territory or sector, which are then sent to the corresponding selection bodies.

Selection process for regional awards

- 4. The Technical Committees** are made up of representatives of the co-granted of the Start-up AwardsXXI, of the companies organisers of each territory, and experts in the entrepreneurial ecosystem (successful entrepreneurs, business angels, Venture capital). The Technical Committee analyses the applications made, the awarded scores, and selects the companies that will be presented before the autonomous Jury. Provided that is possible, the Technical Committee will request the presentation and defense of the candidacy by the company that it is competing and in some cases, can organise sessions of preparation of the pitch for the finalists. The assistance to these sessions, in the case of organise, is compulsory to remain in the process.
- 5. Juries and award ceremonies:** Juries designate the winning company from each community. Regional Juries are formed by members representing the co-grantors, institutional representatives, investors, prestigious entrepreneurs, successful entrepreneurs, and former winners of the Start-up AwardsXXI. The jury is presided over by CaixaBank and the co-grantor. The Jury requires that the competing company present and defend the candidate. Furthermore, they may organise pitch preparation sessions for the finalists. Attendance to these sessions, if organised, is mandatory to remain in the process. The Jury's decision cannot be appealed and will be made public during the Start-up AwardsXXI ceremony, which may take place that same day or on a later date. The Award ceremony will take place during the DayOne Innovation Summit assigned to the Autonomous Communities. All the participants that have been appointed finalists commit to attend. The award ceremonies will be celebrated in person, in the first quarter of 2023 and the exact dates will be published on the website (<http://www.emprendedorxxi.es/en/>).

Selection process for Start-up AwardsXXI Challenges

- 6. Evaluation Commission:** The Evaluation Committee is made up of representatives of the organizing entities, co-grantors specialists in company valuation and the areas of CaixaBank ambassadors of the Start-up AwardsXXI and selects, in each of the challenges, the companies that will be elevated to each of the the Technical Committees – Start-up AwardsXXI Challenges Jury
- 7. Technical Committees - Jury of the Challenges:** The Technical Committees - Jury of the challenges posed are made up of leading professionals from the industry and the sector, specialized investors and institutional representatives. The Jury-Technical Committees will be held in **June 2023** and will select the winning company and a finalist for each challenge. The companies will present their candidacy before the Jury Committee in a pitch format plus a question time. The decision of the Committee - Jury is final and will be made public during the Ceremony of delivery.

Prior to the Jury-Committees, training and networking sessions will be organized with members of the ecosystem for the finalists. Failure to attend the Committee-Jury or the previous preparation sessions will lead to exclusion from the selection process

- 8. Award Ceremony:** The award ceremony for the 6 challenge awards is planned for the month of **June 2023** within the scope of the DayOne Innovation Summit ChallengesXXI – place and date to be confirmed.

General aspects of the selection process

The organisation reserves the right to modify any date for the committees, juries, or awards ceremonies included in these terms and conditions, as well as to adjust the procedure in the selection process if deemed necessary. If this occurs, it will be reported on the Awards website (<http://www.emprededorxxi.es/en/>) and by email to the organising and collaborating entities.

In the event that the quality of the candidacies is not considered sufficient, the organisation may modify the number of candidacies that pass a phase or which move forward to the corresponding committees and juries, or it may declare the award forfeit.

The travel and accommodation costs of the companies that are called before the Committees and Jury for the Start-up AwardsXXI Challenges are borne by the organisation (1 representative per company).

7. Awards

Through the Start-up AwardsXXI, the organisers and joint prize givers wish to contribute decisively to promoting companies. Therefore, apart from financial contributions, the awards offer business development programmes and actions to increase the companies' visibility before key partners for their future.

The winning companies, at the time of accepting the awards, will commit to attend and carry out the accompanying programs. The economic endowment to be delivered to the winning companies may be deferred or reduced depending on attendance at the aforementioned programs and their associated cost.

All participating companies may choose from among the following awards:

Regional Awards to companies with high impact in their territory

The 17 winning companies in Spain (1 winning company in each community) and the 2 winning companies in Portugal (1 winning company in each region) will be recognised as the companies with high growth potential in their region and will receive

- A financial contribution of €6,000 ¹.
- Access to an international development programme.
- Participation in the Investors Day EmprendedorXXI and possibility of participating in the DayOne Open Innovation Program

Access to the DayOne AlumniXXI community with the winners of previous editions

Start-up AwardsXXI Challenges: To companies with the greatest potential to solve the challenges posed

The 6 sector-winning companies (1 winning company from each challenge) will be recognised as companies with the greatest potential to solve the challenges posed and will receive a financial contribution²:

- 20,000 to the winner of each challenge
 - SEMILLAXXI: Digital transformation and innovation in the agri-food sector.
 - SILVERXXI: Guaranteeing active ageing and a long, healthy life through technology.
 - BANCAXXI: The digital transformation of the financial sector.
 - PLANETAXXI: Environmental sustainability: a better planet for the new generations.
 - CIUDADXXI: The cities of the future: more sustainable, safer, more connected and adapted.
 - VIVEXXI: Digitalisation, new business models and reactivation of the hotel sector, catering, tourism and leisure.

The 6 winning companies (1 winning company in each challenge) and the 6 finalists (1 companies in each challenge) will have access to **an international business development programme**.

- Participation in the Investors Day EmprndeXXI and the DayOne Open Innovation Program.
- Access to the international training program, Moonshot Thinking for Entrepreneurs
- The DayOne AlumniXXI community with the founders of the winning companies of previous editions

EmprndeXXI Awards reserves the right to defer part of the payment at the end of the edition and once the established requirements have been met.

¹ All awards are subject to any corresponding withholdings and tax payments that may apply. It is the responsibility of the winner, in any case, to declare the award appropriately in the tax to which they are subject. The prize money will be paid via transfer to a CaixaBank or BPI checking account owned by the winning company.

² All awards are subject to any corresponding withholdings and tax payments that may apply. It is the responsibility of the winner, in any case, to declare the award appropriately in the tax to which they are subject. The prize money will be paid via transfer to a CaixaBank or BPI checking account owned by the winning company.

International business development programme.

In addition to the financial contribution for the award, the 19 companies that win the regional award, the 6 companies that win the challenge award (1 company in each challenge), and the 6 sector finalists (1 companies from each challenge) will have access to an international business development programme. The program will include an international stay in one of the main innovation hubs in the world. The program is scheduled to take place during the month of July 2023.

International support and second prize in innovation

The Start-up AwardsXXI relies on the support of different international entities. The information on this topic will be reported on the awards website (www.emprededorxxi.es/en/) and its company channels.

The awards are supported by the Israeli Embassy in Spain and the Adjoint Ministry of Economy and the Agência Nacional da Inovação de Portugal..

To grant the second prizes in innovation, the evaluators will assess the value contribution and the disruptive capacity of the proposed innovation, with innovation being understood in a broad sense (technology, business model, processes, sales channel, etc.).

The promoters of the Start-up AwardsXXI will submit candidates that opt for the second prize to the entity that grants this prize, which will select and propose the winning company. The entity also reserves the right to declare the second prize forfeit. The entity granting the second prize may request additional information and perform interviews, whether in person or over the phone, with the candidate companies.

Two special second prizes will also be awarded amounting to €10,000 each: the Social Impact award for the company with the greatest positive influence on society and the Deep Tech award for the most groundbreaking technological innovation.

Investors Day EmpredeXXI

All of the companies winning awards in the 16th edition of the Start-up AwardsXXI will have access to the “Start-up AwardsXXI Investors Day” sessions where they will be able to network with the main investors and corporations with an interest in innovation. The dates and formats will be announced to the winning companies nearer the time.

DayOne Open Innovation Programme

The Start-up AwardsXXI, through CaixaBank DayOne, will launch the “DayOne Open Innovation” programme in 2021. The aim of this programme is to select a company from each sector vertical in which the challenge will be to carry out a pilot programme with a CaixaBank group business line. The specific challenges will be presented during 2022, as well as the details and conditions of participation in this program. The company selected from each sector will receive until €15,000 to carry out a pilot project with CaixaBank.

The legal terms and conditions to participate in this programme will be published on the DayOne website (www.dayonecaixabank.com) and the Start-up AwardsXXI website (www.emprededorxxi.es/en/).

DayOne and the Start-up AwardsXXI will inform the companies participating in the Start-up AwardsXXI when this call opens, which they can apply for if they meet the participation requisites.

Online training sessions for all candidates

In addition, just for presenting your candidacy for the Start-up AwardsXXI, the candidate companies will have access to online training sessions on some of the topics of greatest interest to entrepreneurs. These sessions will take place during the months of December 2022 to February 2023, dates pending final confirmation, and may consist of a webinar format, an online training course or others considered by the organization.

DayOne AlumniXXI

The DayOne AlumniXXI community was born as a meeting point for the exchange of knowledge, ideas and experiences between the founders of the award-winning start-ups throughout the history of the Start-up AwardsXXI.

An initiative that combines a virtual community and face-to-face meetings that will help strengthen links and create synergies between the winners and the entrepreneurial and innovation ecosystem.

8. Confidentiality, Advertising and Delivery of Newsletters

The awards are granted by Caixa Emprendedor XXI S.A, together with CaixaBank, S.A. and Empresa Nacional de Innovación S.M.E., S.A. (ENISA), the Ministry of Industry, Commerce, and Tourism in Spain and Banco BPI in Portugal, and managed by CaixaBank SA. through DayOne, its division for technological companies and their investors.

Confidentiality

The confidentiality of the candidacies submitted will be guaranteed throughout the entire process. In any case, their general characteristics may be disclosed along with, in due time, the name of the winning projects, their logo, their activity and main achievements, as well as the members of the promoting team.

Caixa Emprendedor XXI S.A.U. states that:

- a) For the management of the call, Caixa EmprendedorXXI SAU will not be had access to personal data, with the exception of the Contact details of candidates companies to the awards, according to are defined in the following section.
- b) Details of the representatives of the legal persons.

The details of representatives, employees or other individuals that they act in name or payable by the companies candidates and that they are provided by these to Caixa Emprendedor XXI S.A.U. (this is, professional contact details, charge carried out in the company/company and, where applicable, powers

to act in its name and representation - the "Contact details"), will be processed based on Caixa Emprendedor's legitimate interest with the aim of maintaining a relationship with the legal entity to which they provide services, as set out in Article 19 of Spanish Organic Law 3/18, on the Protection of Personal Data (LOPD). In particular, they will be processed for the purposes of managing these terms and conditions with the aim of managing the current bases, that it will be able to include these details' communication to the Technical Committees and to the Jury, according to are defined in the current bases, and to the companies organisers and co-granted of the Prizes, in order to being able to analyse and assess the applications made, as well as, where applicable, to comply with the imposed regulatory obligations to Caixa Emprendedor XXI S.A.U. during their validity. Once completed the validity, the details will be upheld (such as indicates the standard), to only effects of complying with the required legal obligations and for the formulation, financial year or defense of claims, during the limitation period of the stock derived from the current bases.

c) Caixa Emprendedor XXI, as a subsidiary of the CaixaBank Group, will be able to share the information obtained from the participating companies with CaixaBank S.A for the purpose of analysing the information provided by the participants within the scope of the Start-up AwardsXXI and adapting their range of products and services to the entrepreneurial sector.

d) Caixa Emprendedor XXI collaborates with business schools and universities in generating the relevant knowledge on the state and growth of entrepreneurial initiatives with high growth potential in Spain starting with the information generated through the Start-up AwardsXXI. To this end, Caixa Emprendedor XXI S.A. discloses the data solely for research purposes and the publication of aggregated and anonymised results and excludes any personal data before providing this information.

The data holder may exercise the rights in accordance with the applicable legislation through the following website: premio@emprendedorxxi.es

Furthermore, they may direct any claims derived from the processing of their data to the Spanish Data Protection Agency (www.agpd.es).

Advertising the nominations for the awards

The winning and nominee companies may advertise this status on their letterheads, announcements, and reports, specifying the year in which they were awarded and if they are winning or nominated companies, as well as publishing or broadcasting the granting of the Awards in any communications media.

The organising and granting entities will advertise the companies that win and are nominated with the due relevance in the national and/or regional media they consider most appropriate and on all platforms which request examples of excellence and best practices in the field of innovation.

"Entrepreneur XXI" newsletter

Caixa Emprendedor XXI issues a newsletter with contents that are relevant to the entrepreneurial sector such as events, opinion articles and interviews with experts, among others.

If you wish to receive the newsletter at the email address provided during the applicant registration process, you can request this in the application form.

The data processed are identifying data (contact details such as name, address and email address). The legal basis for the processing of these data is the contractual relationship that is formed when you apply.

These data may be passed on to public authorities and entities to comply with any required legal obligation, as well as to service providers and third parties that are necessary in the communication process.

The data will be processed as long as the application is not withdrawn and shall be stored during the limitation period of the corresponding legal actions derived from these relationships for the sole purposes of complying with the required legal obligations, and for the formulation, exercise, or defence of claims.

Acceptance of the terms and conditions

Participation in the Contest implies the full acceptance of these terms and conditions, the interpretation of which corresponds to the Jury corresponding to each phase.

Any breach of the deadlines or procedures reflected in these terms and conditions will result in the loss of the ability to participate in the awards.